

SERIAL NUMBER 09/447,319	FILING DATE 11/22/99	CLASS 722105	GROUP ART UNIT 2783 3624	ATTORNEY DOCKET NO. 11129/2
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APPLICANT

MICHAEL ADRIAN GOOCH, RUMSON, NJ.

CONTINUING DOMESTIC DATA***

VERIFIED

[Signature]

371 (NAT'L STAGE) DATA***

VERIFIED

[Signature]

FOREIGN APPLICATIONS***

VERIFIED

[Signature]

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/27/99 ** SMALL ENTITY **

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY NJ	SHEETS DRAWING 3	TOTAL CLAIMS 18	INDEPENDENT CLAIMS 4
Verified and Acknowledged <i>[Signature]</i> Examiner's Initials _____ Initials _____					

ADDRESS

ALEX SKUCAS ESQ
KENYON AND KENYON
ONE BROADWAY
NEW YORK NY 10004

TITLE

SYSTEM AND METHOD FOR TRADING AN INSTRUMENT

FILING FEE RECEIVED \$838	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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